Self-Audit Checklist for Infection Control

**Dental Infection Control Program Administration**

Y N  Are “standard precautions” followed for all patients?
Y N  Is there a written infection control program?
Y N  Does the office have an IC and OSHA coordinator assigned?
Y N  Have all personnel received training regarding infectious agents?
Y N  Does the office routinely evaluate the office infection control program?

**Preventing Transmission of Bloodborne Pathogens**

Y N  Is the HBV vaccination offered and records kept?
Y N  Are sharps containers and needle recapping devices available?
Y N  Is there a “needle stick” protocol and post-exposure program?

**Hand Hygiene**

Y N  Do clinic personnel perform hand hygiene before and after treating patients?
Y N  Are alcohol hand rubs available?
Y N  Are products available for hand hygiene manufactured for health care providers?
Y N  Are appropriate hand lotions available to prevent skin disorders?

**Contact Dermatitis and Latex Sensitivity**

Y N  Are latex-free items available for patients and clinic personnel?
Y N  Are clinic personnel made aware of latex sensitivity and consequences?

**Personal Protective Equipment**

Y N  Do clinic personnel wear appropriate eye protection?
Y N  Do clinic personnel change masks between patients?
Y N  Do clinic personnel wear protective clothing and change when necessary?
Y N  Is protective clothing removed before leaving office?
Y N  Are gloves appropriate to treatment, available in sizes required?
Y N  Are gloves changed between patients?

**Sterilization and Disinfection of Patient-Care Items**

Y N  Is there a central instrument processing area available for the office?
Y N  Are the manufacturer’s guidelines followed for sterilizer maintenance?
Y N  Have clinical personnel received training on how to use the equipment?
Y N  Are visible blood and debris removed from instruments prior to sterilization?
Y N  If hand scrubbing is performed, is a long-handled brush utilized and utility gloves worn?
Y N  If instrument cleaning via ultrasonic is performed, are proper enzymatic cleaners used?
Y N  Are heavy-duty utility gloves provided for instrument cleaning?
Y N  Are instruments wrapped appropriately before sterilization?
Y N  Is sterilization equipment properly monitored and records maintained?
Y N  Are all wrapped instrument packages inspected to ensure they are intact?
Y N  Are all implantable devices sterilized before use?

**Environmental Infection Control**
Y N Are clinical contact surfaces disinfected or barrier protected for each patient?
Y N Are surface barriers changed between patients?
Y N Are appropriate products utilized for cleaning and disinfecting clinical contact areas?
Y N Do clinic personnel use PPE when cleaning environmental surfaces?
Y N Are housekeeping surfaces cleaned on a routine basis?

Dental Unit Waterlines
Y N Does the dental unit water meet EPA regulatory standards for drinking water?
Y N Have the manufacturers’ recommended guidelines been followed?
Y N Is the water flushed (handpieces, ultrasonic scalers, and air/water syringes) for 20-30 seconds after each patient?

Dental Handpieces
Y N Are handpieces cleaned, disinfected, lubricated, and sterilized between patients?

Parenteral Medications
Y N Are single-dose medications and devices used for one patient only and disposed of appropriately?

Oral Surgical Procedures
Y N Do clinical personnel wear sterile surgeon’s gloves and use sterile irrigation?
Y N Is sterile water (or saline) used for invasive procedures?
Y N Are biopsy specimens placed in a sturdy, leakproof container with proper labels?
Y N Are extracted teeth disposed of as a regulated medical waste or returned to the patient?

Dental Laboratory
Y N Are clinical personnel using PPE when handling items received in the laboratory?
Y N Are impressions disinfected prior to being transported to the lab and communicated as such?